

FORM - 3A

(Read with Regulation 10)

Name of the Insurer:SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128

Statement as on : 30-09-2015

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission: Quarterly

PART - A

Rs. Crore

Section I

Total Application as per Balance Sheet (A)		<u>2451.40</u>
Add (B)		
Provisions	Sch-14	32.86
Current Liabilities	Sch-13	<u>182.92</u>
		<u>215.78</u>
Less (C)		
Debit Balance in P&L A/c		0.00
Deferred tax asset		0.00
Loans	Sch-09	2.31
Adv & Other Assets	Sch-12	94.41
Cash & Bank Balances	Sch-11	66.16
Fixed Assets	Sch-10	39.25
Misc Exp. Not Written Off	Sch-15	<u>0.00</u>
		<u>202.15</u>
Funds available for Investments		<u><u>2465.03</u></u>

Reconciliation of Investment Assets

Total Investment Assets (as per Balance Sheet)	<u>2465.03</u>
Balance Sheet Value of:	
A. Life Fund	<u>1266.65</u>
B. Pension & Gen Annuity Fund	<u>200.51</u>
C. Unit Linked Funds	<u>997.87</u>
	<u><u>2465.03</u></u>

Section II

NON - LINKED BUSINESS

A. LIFE FUND		% as per Reg	SH		PH			Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value
			Balance	FRSM*	UL-Non	PAR	NON PAR					
			(a)	(b)	(c)	(d)	(e)					
1	Central Govt.Sec	Not Less than 25%	0.00	166.06	3.00	204.63	53.55	427.24	39.24%	0.00	427.24	432.00
2	Central Govt.Sec,State Govt Sec or	Not Less than 50%	0.00	180.92	9.79	267.51	111.85	570.07	52.35%	0.00	570.07	580.25
3	Investment subject to Exposure											
	a Housing & Infrastructure											
	1. Approved Investments	Not Less than 15%	0.27	45.52	6.85	143.30	30.96	226.64	20.81%	0.19	227.11	238.68
	2. Other Investments		0.00	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00	0.00
	b 1. Approved Investments	Not exceeding 35%	75.50	94.28	3.86	71.51	75.90	245.55	22.55%	-2.33	318.72	299.02
	2. Other Investments		105.36	6.27	1.09	24.71	14.56	46.64	4.28%	-1.25	150.75	151.26
	TOTAL LIFE FUND	100%	181.14	326.99	21.59	507.03	233.28	1088.90	100.00%	-3.39	1266.65	1269.21

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS		% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value
			PAR	NON PAR					
			(a)	(b)	(c) =(a+b)	(d)	(e)	(f)=(c+e)	(g)
1	Central Govt.Sec	Not Less than 20%	0.00	67.98	67.98	33.90%	0.00	67.98	68.87
2	Central Govt.Sec,State Govt Sec or	Not Less than 40%	0.00	87.31	87.31	43.54%	0.00	87.31	88.69
3	Balance in Approved Investments	Not Exceeding 60%	0.00	113.46	113.46	56.46%	-0.26	113.20	114.48
	TOTAL PENSION, GENERAL ANNUITY FUND	100%	0.00	200.77	200.77	100.00%	-0.26	200.51	203.17

LINKIED BUSINESS

C. LINKIED FUNDS		% as per Reg	PH		Total Fund	Actual %
			PAR	NON PAR		
			(a)	(b)	(c) =(a+b)	(d)
1	Approved Investments	Not exceeding 75%	0.00	934.39	934.39	93.64%
2	Other Investments	Not exceeding 25%	0.00	63.48	63.48	6.36%
	TOTAL LINKED INSURANCE FUND	100%	0.00	997.87	997.87	100.00%

CERTIFICATION

Certified that the information given herein are correct ,complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 29/10/2015

Signature: _____

Full name: MR . G.VAIDYANATHAN

Chief of Finance

Note: 1 (+) FRSM refers to 'Funds representing Solvency Margin'

2 Funds beyond Solvency Margin shall have a separate Custody Account.

3 Other Investments' are as permitted under Section 27A(2) of Insurance Act, 1938

4 Pattern of Investment is applicable to both Shareholders funds respresing solvency margin and Policyholders funds.

5 Exposure Norms, shall not apply to Funds held beyond Solvency Margin, held in a separate Custody Account

